

Explain what happens in each of the two phases of a two phase commit protocol (just the main points; no details about what to log).

### Phase 1: solicit votes

Coordinator sends a message to all participants asking if they can commit. Wait for all responses (as long as necessary)

### Phase 2: Send commit or abort directive

If there is unanimous agreement to commit, then the coordinator sends a commit directive to all participants; otherwise it sends an abort directive to all participants

Wait for all participants to acknowledge

### **Question 2**

Explain why Eric Brewer's CAP theorem led to the use of an *eventual consistency* model in many distributed systems.

Given that *partitions* are a fact of life in production systems, the CAP theorem states that we have to choose availability or consistency.

Many services value availability over consistency.

Eventual consistency means that some copies of data will be stale but updates will eventually propagate to all replicas.

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### Question 3 False deadlock cannot be solved simply by imposing total ordering on messages. Explain why. Total ordering ensures consistent ordering at all receivers. Here, we have only one receiver. Total ordering does not guarantee global time ordering. Messages may still arrive out of order A lock request message may reach a sequence # server before a lock release message even if the release was sent first. -1 for process might die: that's a general problem beyond detecting false deadlock (and you have to consider the recovery model - if it's fail-

### Question 4

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As opposed to locks, leases:

- ve expiration times (b) Are long-term while locks are short-term.
- (c) Allow multiple clients to access a resource for reading.
- (d) Can be distributed while locks are centralized

Leases are just locks that expire.



### Question 5

Ricart & Agrawala's mutual exclusion algorithm differs from Lamport's because it: (a) Uses more messages than Lamport's since each message needs to be acknowledged.

- (b) Uses fewer messages than Lamport's since a system does not reply until it agrees to grant access to a resource. (c) Does not require the use of Lamport timestamps.
- (d) Requires a system to contact all group members to request access to a resource.

(a) Lamport's actually may use more messages since all messages are acknowledged all messages immediately & release messages are sent to all members. Ricart & Agrawala's holds off on an ack in place of a release message.

(c) Both use unique Lamport timestamps.

(d) Both require sending messages to the entire group.

- A bully election algorithm elects:
- (a) The client who can send the most messages in a given time interval.(b) The client who discovered a dead leader and sends the first election message.
- (c) The client who discovered a dead leader and sends the first election messagi
   (c) The client who has the highest-numbered process ID and responds to
- (d) The client that gets a majority vote.

Contact all higher-numbered processes.

If any respond, you're done.

If not, then you're the winner.

### Question 7

One advantage that the Chang and Roberts algorithm has over the ring algorithm

- (a) It is guaranteed to complete.
- (c) It is resistant to partitioning.
   (c) It will never allow concurrent elections to pick different winners.
   (d) It creates smaller messages.

Only one process ID is sent with an election message - not a list.

### **Question 8**

If an acceptor starts up in the middle of the Paxos protocol, how does it get information about the current proposal number? (a) A proposer will send it during the second (accept) phase.

(b) It queries all other live acceptors for the number.

(c) It queries any other live acceptor for the number.(d) A learner will send it the last valid proposal number.

### From the video

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Proposers choose values (they're active) – try to get majority of votes from acceptors

Acceptors are passive elements – they only respond to messages from proposers and store the chosen value – accepting new values if it has a higher (newer) proposal #

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Learners just propagate results at the end.

### Question 9

Once an acceptor makes a *promise* on a received proposal, it will: (a) Not accept proposals with higher sequence numbers. (b) Not accept proposals with lower sequence numbers.

(c) Not accept any other incoming proposals.

(d) Accept any future proposals, regardless of their number.

### Question 10

One way in which Raft differs from Paxos is that:

- (a) There is no need for majorities.
- (b) In some cases, the resultant value might not be one of the proposed values.
  (c) A single leader to receive all client requests is a requirement.
  (d) The protocol might fail to achieve consensus and will need to be restarted.

 (a) Raft relies on overlapping majorities to guarantee safety: allows the Raft cluster to continue operating during membership changes.
 A majority of members must elect a leader.

- Log entries (build into the algorithm) must reach a majority of members

(b, d) Then it wouldn't be a valid consensus algorithm.

(c) A single elected leader handles all client requests.

### Question 11

When Raft servers hold an *election*, the winner is generally:

(a) The server with the highest process number.
(b) The server that picks the highest random number.
(c) Chosen by a leader, who propagates the choice to a majority of followers.
(d) The server where a majority of the group members receive its election message first.

To start an election:

A candidate picks a random election timeout

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- It then votes for itself and requests votes from the group
- If a candidate received *request vote* message and hasn't yet voted for itself, it picks the candidate that sent the message
- When a candidate gets a majority of votes, it becomes the leader

- The three-phase commit protocol inserts a new phase to: (a) Give a participant the chance to change its mind about committing. (b) Tell a participant the vote but not have it commit its sub-transaction
- (c) Tell each participant that it can release any locks it has on resources. (d) Ask a participant if it is ready to commit or needs to abort

3PC is designed to make it easy to have a replacement coordinator take over

By propagating the vote to all participants, the coordinator can ask any participant for the state of the vote:

- If the participant doesn't know the vote, then nobody has been told to commit or abort and we can restart the protocol.
- If the participant knows the vote, then we know there was unanimous agreement

If a participant already committed or aborted, we know there was unanimous agreement

### Question 13

- A problem with two-phase locking that is fixed by strict two-phase locking is (a) Since locks are advisory, other transactions may be able to access that locked
- data. (b) A transaction could read data that was modified by a transaction that did not

vet commit. (c) Deadlock can occur.

- (d) The lock manager may die between the first and second phase.
- (a) Locks are not advisory; they are mandatory.
- (b) Transaction #2 can read data that transaction #1 has unlocked before transaction #1 commits. If transaction #1 aborts, transaction #2 will have to abort  $\Rightarrow$  cascading aborts
- (c) Just as possible with strict 2PL
- (d) Just as possible with strict 2PL use a fault-tolerant lock manager

### Question 14

The use of separate *read locks* and *write locks*: (a) Allows multiple transactions to acquire write locks to write the same resource concurrently.

(b) Allows multiple transactions to acquire read locks to read the same resource concurrently.

(c) Is a form of two-phase locking that separates locks based on their type. (d) All of the above.

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No harm done with concurrent reads if nobody is modifying.

Read locks keep out writers.

(a) No - only one writer at a time.

(c) Read & write locks are not a form of two-phase locking.

### Question 15 What condition is *NOT* necessary for *deadlock*? (a) A transaction holding exclusive locks on one or more resources (b) The ability for a transaction to preempt another one to obtain a lock (c) A transaction waiting on locks for one or more resources. (d) A circular dependency of transactions waiting for locks on resources. Conditions for deadlock: 1. Mutual exclusion 2. Non-preemption 3. Hold & wait 4. Circular dependency er 13, 2017 CS 417 © 2017 Paul Krzyzano

### Question 16

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Edge chasing is a technique to:

- (a) Make sure that release messages are delivered before lock messages.
- (b) Allow older transactions to complete before new ones start. (c) Schedule transactions so that they will never access the same resource
- (d) Determine if a cycle of waiting on resource locks exists.

Edge chasing = sending probe messages to processes holding resources you want ... and seeing if the messages come back to you.



Each time a remote request is made, the client checks the modification time of the remote file.

Session semantics on a file mean that:

(a) Only one client can read a file at a time.
(b) You can grab a single lock to get exclusive access to multiple files at the same time.
(c) Writes from multiple clients will occur in the order in which they were issued.
(d) Nobody sees your writes until you close the file.

Your modifications are not visible to others until you close the file. The last process to close a file overwrites all other changes.

# Question 19 Under the Coda file system, a client has to write changes to: (a) master server, which then propagates them to replicas. (b) Any server hosting the volume, which will then propagate them to other replicas. (c) The client modification log, which are then sent to the cell directory server. (d) All available servers with a copy of the volume. Clients are responsible for updating all replicas.

## Question 20 A Coda Client Modification Log (CML) is used by: (a) Servers to inform clients which files have been modified by other clients. (b) Servers to keep a log of which clients have made changes to files. (c) Clients to upload a list of changes to a file instead of uploading the entire file to a server. (d) Clients during periods of disconnection to track which files have been modified. (a, b): CML is used by clients (c): It doesn't store changes to files (d): Just a list of modification files

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### Question 22

- A key to Chubby's good performance is:
- (a) Distributing data across multiple replicas.(b) Using exceptionally large block sizes in its file system.
- (c) Caching all file data in memory on the server.
- (d) Disallowing clients from locking any file managed by Chubby.
- (a) Replication is only for fault tolerance. One server handles all requests at a time.
- (b) Nope. That's GFS.
- (c) Everything lives in memory and stored for persistance.
- (d) Nothing to do with performance but Chubby supports advisory file locking.

### Question 23

A *notification server* in Dropbox is conceptually similar to: (a) SMB oplocks. (b) AFS callbacks.

(c) NFS read-aheads.(d) GFS master.

stored files have been modified.

A notification server avoids the need for clients to check if any locally-

A design aspect of *parallel file systems* is: (a) File data is spread across multiple servers. (b) Multiple clients can access the same file data concurrently. (c) The file system uses huge block sizes. (d) The same file data is replicated on multiple servers.











- Virtual nodes in Dynamo:
  (a) Enable the use of virtual machines that can be brought in to handle extra load during peak traffic times.
  (b) Are empty placeholder nodes in the ring between physical successor nodes.
  (c) Allow control of load distribution by assigning varying numbers of virtual nodes to physical nodes.
  (d) Each manage one logical block of a content-addressable network.

### Question 31 Dynamo's *optimistic replication* means: (a) Dynamo uses rack-aware logic to create replicas on systems closest to the original node. (b) Applications can safely assume that their data will be replicated. (c) Replicas are not guaranteed to be identical at all times. (d) Applications can assume that all replicas will be updated atomically, ensuring ACID semantics. Dynamo employs an eventually consistent model

